



**REPORT of
DIRECTOR OF STRATEGY, PERFORMANCE AND GOVERNANCE**

**to
PERFORMANCE, GOVERNANCE AND AUDIT COMMITTEE
28 OCTOBER 2019**

QUARTERLY REVIEW OF CORPORATE RISK AND PERFORMANCE

1. PURPOSE OF THE REPORT

- 1.1 The Risk Management Policy requires this Committee to undertake a quarterly review of the Corporate Risk Register as assurance that the corporate risks are being managed effectively. **APPENDIX 1** to this report provides an updated position on the full Corporate Risk Register (CRR) as at the end of Quarter 2 (30 September 2019).
- 1.2 The Corporate Performance framework requires this committee to undertake a quarterly review of the Thematic Strategy's performance, as assurance that performance is being managed effectively to achieve the Corporate outcomes, and where necessary make consensus suggestion of improvement. **APPENDIX 2** provides the first view of performance as of the end of Quarter 2 (Q2) (30 September 2019).
- 1.3 These two reports have been simplified to bring together a clear picture of performance and risk. Online versions are available to view on the Members SharePoint site using the following link: [Corporate Risk & Performance Report](#)

2. RECOMMENDATIONS

- (i) that Members review the information as set out in this report and **APPENDICES 1 and 2** and their views and comments are sought;
- (ii) that Members are assured through this review that corporate risk and performance are being managed effectively;
- (iii) that Members challenge performance or risk where the Committee feels that the Council's corporate goals may not be achieved;
- (iv) that Members question Members and Officers on decisions and performance, relating to comparisons with the Corporate Plan outcomes or in respect of decisions, initiatives or projects.

3. SUMMARY OF KEY ISSUES

- 3.1 Three strategic themes have been identified – Place, Community and Prosperity - and following approval of the Corporate Plan, agreed Thematic Strategies will be submitted to Council in November for adoption.
- 3.2 Both appendices have been reported in line with the strategic risk and performance reporting format as agreed by the Council in July 2019.
- 3.3 Following the agreement of the strategies; key activities and priority measures to be monitored at a corporate level have been determined. A small number of measures are still under development and are shown as placeholders in Q2 report for illustration purposes only. All data will be incorporated into subsequent reporting cycles.
- 3.4 Review of the Risk Management Policy is being undertaken to encompass recommendations made in the Risk Management Audit in June 19.
- 3.5 The Corporate Risk Register (CRR) includes risks from both Corporate Plan 2015 – 2019 and Corporate Plan 2019 - 2023. A full risk review will be conducted in Q4 2019 / 20, post the completion of transformation, committee restructure and adoption of the thematic strategies.

4. CONCLUSION

- 4.1 Some measures are in development for reporting performance according to the new corporate plan.
- 4.2 Based on the indicators available, officers will be focusing resource on delivery against the targets.
- 4.3 Additional Corporate Risks have been included that have been escalated from service and project. Overall no major concerns and no increased risk exposure, work continues to ensure risks are mitigated, for both existing risks, and new additions.
- 4.4 All reported performance measures are illustrating positive direction of travel. Where hindrances and data gaps are being experienced, solutions are being sought to enable work to progress.

5. IMPACT ON STRATEGIC THEMES

- 5.1 It is important that performance and risk are monitored and managed effectively, to ensure that Maldon District Council progresses towards &/ achieves its stated outcomes.

6. IMPLICATIONS

- (i) **Impact on Customers** – Risk and performance management covers the monitoring of strategic objectives and minimising Council exposure. Both elements support a seamless customer service.
- (ii) **Impact on Equalities** – None.
- (iii) **Impact on Risk** – If risk and performance are not managed effectively by the Council, it puts the Councils strategic delivery at risk and increases unnecessary exposure to potential, operational, reputational or regulatory consequences.
- (iv) **Impact on Resources (financial and human)** – All risk and performance management is undertaken within existing planned budget. Exceptions being for a potential enactment of a recommendation outside of pre-defined resource.
- (v) **Impact on the Environment** – None.
- (vi) **Impact on Strengthening Communities** – None.

Background Papers: None.

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